SEP 15 2015

DISCIPLINARY BOARD

BEFORE THE DISCIPLINARY BOARD OF THE WASHINGTON STATE BAR ASSOCIATION

In re

MATTHEW PHILLIP VANZEIPEL,

Lawyer (Bar No. 45768).

Proceeding No. 15#00071

STIPULATION TO REPRIMAND

Under Rule 9.1 of the Rules for Enforcement of Lawyer Conduct (ELC), the following Stipulation to reprimand, is entered into by the Office of Disciplinary Counsel (ODC) of the Washington State Bar Association (Association) through disciplinary counsel Erica Temple, Respondent's Counsel Joel Wright and Rosemary Moore, and Respondent lawyer Matthew Phillip VanZeipel.

Respondent understands that he is entitled under the ELC to a hearing, to present exhibits and witnesses on his behalf, and to have a hearing officer determine the facts, misconduct and sanction in this case. Respondent further understands that he is entitled under the ELC to appeal the outcome of a hearing to the Disciplinary Board, and, in certain cases, the Supreme Court. Respondent further understands that a hearing and appeal could result in an outcome more favorable or less favorable to him. Respondent chooses to resolve this Stipulation to Discipline

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1	proceeding now by entering into the following stipulation to facts, misconduct and sanction to		
2	avoid the risk, time, and expense attendant to further proceedings.		
3	I. ADMISSION TO PRACTICE		
4	1.	Respondent was admitted to practice law in t	he State of Washington on January 28,
5	2013.		
6	II. STIPULATED FACTS		
7	2.	In the fall of 2013, Respondent began working	ng as a sole practitioner using the name
8	Othello Law Group (OLG).		
9	3.	In 2013, Respondent contracted with a Calif	fornia business, Secure Settlement, for
10	them to p	provide services to OLG involving solicitation	, logistics and administration of home
11	mortgage modifications.		
12	4.	Respondent made it clear to Secure Settlem	ent at the outset that they should not
13	solicit clients outside Washington.		
14	5.	Respondent and Secure Settlement sent fly	ers to neighborhoods where people's
15	homes were being foreclosed, and Respondent believed the letters were sent only within		red the letters were sent only within
16	Washingto	on State.	
17	6.	O.S. lives near Portland, Oregon. In early 20	114, he found himself struggling to pay
18	his mortgage. He received a mailer from a company offering mortgage assistance services.		
19	7.	O.S. contacted Secure Settlement and ultimat	ely hired OLG to perform work related
20	to his mortgage modification.		
21	8. Respondent is not licensed to practice law in Oregon.		
22	9.	9. O.S. made three \$1,000 payments into the OLG bank account by direct deposit in	
23	February, March and April 2014.		
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1	10. Under the supervision of Respondent, Secure Settlement employees performed work			
2	on behalf of O.S., including negotiating with his lender, who offered a mortgage modification.			
3	11. But in a statutorily required Oregon foreclosure avoidance mediation, the parties			
4	must appear in person. A mediation for O.S. was scheduled for November 24, 2014.			
5	12. On November 24, 2014, O.S. appeared at the mediation. Respondent was not			
6	present. O.S. tried to contact Respondent but received no answer. O.S. chose to move forward			
7	with the mediation on his own and came to an agreement with the lender for a small reduction			
8	in his monthly payments.			
9	13. Shannon Calt represents the beneficiary of the Trust Deed related to O.S.'s mortgag			
10	and filed the grievance against Respondent.			
11	14. In October 2014, Respondent began working as an associate for a law firm an			
12	began closing OLG. He stopped taking on new mortgage modifications clients and ended h			
13	relationship with Secure Settlement.			
14	III. STIPULATION TO MISCONDUCT			
15	15. By failing to provide competent representation to O.S., Respondent violated RP			
16	1.1.			
17	16. By failing to make reasonable efforts to ensure that OLG had in effect measures			
18	giving reasonable assurance that the employees of Secure Settlement's conduct was compatibl			
19	with Respondent's professional obligations, Respondent violated RPC 5.3(a).			
20	IV. PRIOR DISCIPLINE			
21	17. Respondent has no prior discipline.			
22	V. APPLICATION OF ABA STANDARDS			
23	18. The following American Bar Association Standards for Imposing Lawyer Sanction			
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1	(1991 ed. & Feb. 1992 Supp.) apply to this case:			
2	4.53 Reprimand is generally appropriate when a lawyer:			
3	(b) is negligent in determining whether he or she is competent to handle a legal matter and causes injury or potential injury to a client.			
5	7.3 Reprimand is generally appropriate when a lawyer negligently engages in conduct that is a violation of a duty owed as a professional and causes injury or potential injury to a client, the public, or the legal system.			
6	19. Respondent acted negligently in determining whether he was competent to represent			
7	O.S. in his home foreclosure, and negligently in ensuring that Secure Settlement's actions were			
8	compatible with his own professional obligations.			
9	20. O.S. suffered injury because Respondent did not attend his mediation as expected.			
10	21. The presumptive sanction is reprimand.22. There are no aggravating factors that apply under ABA <u>Standard</u> 9.22.			
11				
12	23. The following mitigating factors apply under ABA Standard 9.32: (a) absence of a prior disciplinary record; (e) full and free disclosure to disciplinary board or cooperative attitude toward.			
13 14				
15	proceedings; (f) inexperience in the practice of law [Respondent was admitted to practice in 2013]; and,			
16	(l) remorse.			
17	24. It is an additional mitigating factor that Respondent has agreed to resolve this matter			
18	at an early stage of the proceedings.			
19	25. On balance the aggravating and mitigating factors do not require a departure from			
20	the presumptive sanction.			
21	VI. STIPULATED DISCIPLINE			
22	26. The parties stipulate that Respondent shall receive a reprimand for his conduct.			
23	27. Respondent will be subject to probation for a period of one year beginning when			
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1	this stipulation receives final approval and shall comply with the specific probation terms set		
2	forth below:		
3	a) Respondent must not commit any new violations of the RPC.		
4	b) Respondent must attend ethics school (currently set for October 16, 2015).		
5	VII. RESTITUTION		
6	28. Respondent has paid restitution in the amount of \$3,000 to O.S. as a condition of		
7	this stipulation.		
8	VIII. COSTS AND EXPENSES		
9	29. In light of Respondent's willingness to resolve this matter by stipulation at an early		
10	stage of the proceedings, Respondent shall pay attorney fees and administrative costs of \$565 in		
11	accordance with ELC 13.9(i). The Association will seek a money judgment under ELC 13.9(l)		
12	if these costs are not paid within 30 days of approval of this stipulation.		
13	IX. VOLUNTARY AGREEMENT		
14	30. Respondent states that prior to entering into this Stipulation he has consulted		
15	independent legal counsel regarding this Stipulation, that Respondent is entering into this		
16	Stipulation voluntarily, and that no promises or threats have been made by ODC, the		
17	Association, nor by any representative thereof, to induce the Respondent to enter into this		
18	Stipulation except as provided herein.		
19	31. Once fully executed, this stipulation is a contract governed by the legal principles		
20	applicable to contracts, and may not be unilaterally revoked or modified by either party.		
21	X. LIMITATIONS		
22	32. This Stipulation is a compromise agreement intended to resolve this matter in		
23	accordance with the purposes of lawyer discipline while avoiding further proceedings and the		
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expenditure of additional resources by the Respondent and ODC. Both the Respondent lawyer and ODC acknowledge that the result after further proceedings in this matter might differ from the result agreed to herein.

- 33. This Stipulation is not binding upon ODC or the respondent as a statement of all existing facts relating to the professional conduct of the respondent lawyer, and any additional existing facts may be proven in any subsequent disciplinary proceedings.
- 34. This Stipulation results from the consideration of various factors by both parties, including the benefits to both by promptly resolving this matter without the time and expense of hearings, Disciplinary Board appeals, and Supreme Court appeals or petitions for review. As such, approval of this Stipulation will not constitute precedent in determining the appropriate sanction to be imposed in other cases; but, if approved, this Stipulation will be admissible in subsequent proceedings against Respondent to the same extent as any other approved Stipulation.
- 35. Under ELC 3.1(b), all documents that form the record before the Hearing Officer for his or her review become public information on approval of the Stipulation by the Hearing Officer, unless disclosure is restricted by order or rule of law.
- 36. If this Stipulation is approved by the Hearing Officer, it will be followed by the disciplinary action agreed to in this Stipulation. All notices required in the Rules for Enforcement of Lawyer Conduct will be made.
- 37. If this Stipulation is not approved by the Hearing Officer, this Stipulation will have no force or effect, and neither it nor the fact of its execution will be admissible as evidence in the pending disciplinary proceeding, in any subsequent disciplinary proceeding, or in any civil or criminal action.

1	WHEREFORE the undersigned being fully advised, adopt and agree to this Stipulation		
2	to Discipline as set forth above.		
3	Jafopil 1	Dated: 8/17/15	
4	Matthew Phillip VanZeipel, Bar No. 45768 Respondent		
5	A A	- / /	
6	Joel Evans Wright, Bar No. 8625	Dated: \$ 1 2 1 / 15	
7	Counsel for Respondent	,	
8	lose _ Moore	Dated: 8 21/15	
9	Rosemary J. Moore, Bar No. 28650 Counsel for Respondent	1 1	
10		01,0-	
11	Fries Townsla Day No. 28458	Dated: OSII	
12	Erica Temple, Bar No. 28458 Disciplinary Counsel		
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