

BEFORE THE DISCIPLINARY BOARD OF THE WASHINGTON STATE BAR ASSOCIATION

In re

DAWN HILLER,

Lawyer (Bar No. 32782).

Proceeding No. WSBA File Nos 12-01107

WSBA File Nos. 12-01107, 12-01347, 12-02003, and 13-01194

STIPULATION TO TWO-YEAR SUSPENSION

Under Rule 9.1 of the Rules for Enforcement of Lawyer Conduct (ELC), the following Stipulation to Two-Year Suspension is entered into by the Washington State Bar Association (Association), through Senior Disciplinary Counsel Joanne S. Abelson, and Respondent lawyer Dawn Hiller.

Respondent understands that she is entitled under the ELC to a hearing, to present exhibits and witnesses on her behalf, and to have a hearing officer determine the facts, misconduct and sanction in this case. Respondent further understands that she is entitled under the ELC to appeal the outcome of a hearing to the Disciplinary Board, and, in certain cases, the Supreme Court. Respondent further understands that a hearing and appeal could result in an outcome more favorable or less favorable to her. Respondent chooses to resolve this proceeding now by entering into the following stipulation to facts, misconduct and sanction to avoid the

1	risk, time, and expense attendant to further proceedings.
2	I. ADMISSION TO PRACTICE
3	1. Respondent was admitted to practice law in the State of Washington on November 4
4	2002.
5	II. STIPULATED FACTS
6	Overview
7	2. Respondent admits that the conduct described below stemmed from her addiction to
8	alcohol, which at the time was not being treated successfully.
9	Multiple Misdemeanor Convictions
10	Kitsap County District Court No. 16793601
11	3. On November 22, 2004, Respondent was charged in Kitsap County District Cour
12	with driving under the influence.
13	4. On November 17, 2006, Respondent agreed to a two-year diversion.
14	5. On December 17, 2008, following completion of diversion, the charge was amended
15	to negligent driving in the first degree. The court found Respondent guilty and imposed a 90
16	day suspended sentence, with conditions including no criminal violations.
17	Bremerton Municipal Court No. 50103101
18	6. On December 14, 2009, Respondent was charged in Bremerton Municipal Cour
19	with driving under the influence.
20	7. On March 3, 2010, Respondent entered into a deferred prosecution with terms
21	including no alcohol or drug use and no criminal violations.
22	8. On June 12, 2012, the court revoked Respondent's deferred prosecution due
23	Respondent's new criminal law violation, among other things. It found Respondent guilty o
24	

1	driving under the influence and sentenced her to 364 days in jail, with 319 days suspended.
2	Conditions of the suspended sentence included abstaining from alcohol and no criminal
3	violations.
4	9. On August 20, 2012, Respondent entered a 60-day in-patient treatment program.
5	10. On January 25, 2013, due to respondent's violation of the judgment and sentence,
6	the court imposed an additional 90 days of custody via electronic home monitoring, which
7	began on June 13, 2013.
8	11. Respondent completed her electronic home monitoring on September 12, 2013.
9	Kitsap County District Court No. 16793605
10	12. On December 14, 2009, Respondent was charged in Kitsap County District Court
11	with two counts of fourth degree assault.
12	13. On April 9, 2010, Respondent entered into a deferred prosecution with terms
13	including no alcohol or drug use and no criminal violations.
14	14. On August 14, 2012, the court revoked Respondent's deferred prosecution due to the
15	Snohomish County charge discussed below, found Respondent guilty on both counts, and
16	sentenced her to 364 days in jail, with 256 days suspended. Conditions of the suspended
17	sentence included that Respondent have no criminal law violation and abstain from alcohol or
18	drugs.
19	15. On March 20, 2013, due to Respondent's new criminal violation, among other
20	things, the court revoked Respondent suspended sentence and imposed an additional 229 days
21	in jail, concurrent to other terms.
22	Snohomish County District Court No. C00021538
23	16. On May 17, 2012 Respondent was charged in Snohomish County District Court with
24	

1	driving under the influence.
2	17. On August 29, 2013, Respondent pleaded guilty and was sentenced to 364 days in
3	jail, with 334 days suspended, and 60 days of electronic home monitoring to be served
4	concurrently with the electronic home monitoring described in ¶ 10, above. Conditions of
5	sentence included abstaining from alcohol and no criminal violations.
6	Seattle Municipal Court No. 583332
7	18. On October 24, 2012, days after being released from the treatment program
8	referenced in ¶ 9, above, Respondent was charged in Seattle Municipal Court with driving under
9	the influence and false reporting.
10	19. On January 10, 2013, Respondent was convicted following a jury trial of false
11	reporting, Seattle Municipal Code 12A.16.040, based on her providing a false name to a police
12	officer.
13	20. On October 25, 2013, the verdict was affirmed on appeal. King County Superior
14	Court No. 13-1-10677-6.
15	Client Matters
16	Jennifer Hall
17	21. In December 2011, Jennifer Hall hired Respondent to represent her in her
18	dissolution.
19	22. Trial was set for May 31, 2012.
20	23. Respondent did not appear at trial and did not advise Ms. Hall to appear.
21	24. According to Respondent, she believed the case was settled and that the trial would
22	be taken off calendar.
23	25. The case, however, was not settled, and Respondent did not follow up with opposing
24	

I	
2	26. Ms. Hall's then husband and his lawyer appeared for trial on May 31, 2012. Ms.
3	Hall's then husband obtained a default, and Ms. Hall was assessed attorneys fees of over
4	\$9,000.
5	27. Ms. Hall hired a new lawyer who negotiated with opposing counsel to reduce the fee
6	award to \$500.
7	28. Ms. Hall paid her new lawyer \$1,000 to correct the problems that arose from
8	Respondent's failure to appear at trial.
9	Robert Stringham
10	29. In July 2011, Robert Stringham hired Respondent to modify a parenting plan.
11	30. A hearing was held on August 30, 2011. Mr. Stringham flew in from Chicago for
12	the hearing. Respondent did not appear.
13	31. Respondent believed that she and opposing counsel had reached agreement on the
4	issue, but that belief was not reasonable as she had not responded to opposing counsel's
15	settlement offer.
6	32. The court assessed attorneys fees of \$490 against Mr. Stringham based on
7	Respondent's failure to appear.
8	33. Respondent accepted responsibility for the \$490 assessment and told Mr. Stringham
9	she would pay it, but then changed her mind when she determined Mr. Stringham owed her
20	money.
21	34. Respondent removed \$490 from her trust account without notice to Mr. Stringham
22	and without sending him a billing statement or providing other notice.
23	//
24	

1 || counsel or check the docket to see whether the trial date was stricken.

1	Client AE
2	35. In February 2012, AE hired Respondent to represent her in her dissolution.
3	36. A mediation was scheduled for June 5, 2012.
4	37. On or about June 4, 2012, while under the influence of alcohol, Respondent called
5	the police and told them to pick her up on an outstanding warrant issued by the Kitsap County
6	District Court. As a result, Respondent was incarcerated on June 5, 2012.
7	38. Respondent did not advise AE that she would not be available to appear at the
8	mediation and made no arrangements with anyone else to cover for her.
9	39. AE learned Respondent would not be appearing when she arrived at opposing
10	counsel's office for the mediation.
11	III. STIPULATION TO MISCONDUCT
12	40. By repeatedly violating the criminal law, conditions of probation, and the terms of
13	her suspended sentences, Respondent violated RPC 8.4(i) (disregard for the rule of law).
14	41. By engaging in the conduct that led to her conviction in Seattle Municipal Court No.
15	583332, Respondent violated RPC 8.4(c) (dishonest conduct).
16	42. By failing to represent her clients diligently in the Hall, Stringham, and AE matters,
17	Respondent violated RPC 1.3 (failure to provide diligent representation).
18	43. By failing to provide clients Hall, Stringham, and AE with information pertinent to
19	the representation, Respondent violated RPC 1.4 (failure to communicate).
20	44. By removing Mr. Stringham's funds from trust without first sending him a billing
21	statement or providing other notice, Respondent violated RPC 1.15A(h)(3) (failure to provide
22	client reasonable notice before removing funds from trust).
23	
24	

1	IV. PRIOR DISCIPLINE
2	45. Respondent has no prior discipline.
3	V. APPLICATION OF ABA STANDARDS
4	46. The following American Bar Association Standards for Imposing Lawyer Sanctions
5	(1991 ed. & Feb. 1992 Supp.) apply to this case:
6	• ABA Standard 4.1 applies to the violation of RPC 1.15A(h)(3) (¶ 44);
7	• ABA <u>Standard</u> 4.4 applies to the violations of RPC 1.3 and RPC 1.4 (¶¶ 42-43);
8 9	• ABA <u>Standard</u> 5.1 applies to the violation of RPC 8.4(c) (¶ 41). ¹
10	47. No ABA Standard applies directly to the violation of RPC 8.4(i) (¶ 40). In re
11	Disciplinary Proceeding Against Curran, 115 Wn.2d 735, 770-71, 801 P.2d 962 (1990).
12	48. Respondent acted negligently with respect to the violation of RPC 1.15A(h)(3) and
13	knowingly with respect to the violations of RPC 1.3, RPC 1.4, RPC 8.4(c) and RPC 8.4(i).
14	49. In addition, Respondent engaged in a pattern of neglect of client matters.
15	50. Respondent's clients suffered actual injury from her failure to attend to their legal
16	matters. The were left without counsel at critical junctures and suffered financial injury from
17	Respondent failure to appear.
18	51. The legal system suffered injury because the state repeatedly was forced to address
19	Respondent's misconduct in numerous court proceedings. The legal profession also suffered
20	injury because the spectacle of a lawyer repeatedly violating the criminal law brings disrespect
21	to the profession.
22	52. The presumptive sanctions are as follows:
23 24	Copies of the applicable ABA Standards are attached as Appendix A.

Respondent (or Respondent's counsel, if Respondent is then represented) and disciplinary counsel shall meet to discuss the evaluator's report and what steps can be taken to address the evaluator's concerns. If Respondent and disciplinary counsel cannot reach an agreement, both parties shall present written materials and arguments to the Disciplinary Board. The Disciplinary Board shall decide whether and the conditions under which Respondent shall return to the active practice of law.

- 64. Following her reinstatement to the active practice of law, Respondent shall be on probation under ELC 13.8 for a period of two years.
- 65. During the period of probation Respondent must maintain sobriety as demonstrated by clean UAs, to be obtained at her own expense. Respondent must undergo random UA tests on at least a monthly basis, with reports of the UAs submitted to the Office of Disciplinary Counsel's Probation Administrator. Respondent may submit UA results obtained in connection with her criminal probation or may arrange to have random UAs conducted by a private facility, to be approved by the Probation Administrator. Respondent shall execute any necessary releases to allow the Probation Administrator full access to the results of the UAs to monitor compliance with this stipulation.
- 66. In addition, during the period of probation, Respondent shall comply with any treatment recommendations arising out of the evaluation process described in ¶ 60, above, such as chemical dependency treatment or participation in Alcoholics Anonymous or a similar group.
- 67. Respondent shall execute an authorization to allow any chemical dependency treatment provider to release information to Probation Administrator, including but not limited to: dates of attendance; reports of progress on treatment; incidences of relapse; results of urine toxicology reports; and reports of any further violations of the RPC. The failure to have a

1	current, valid authorization for release of information to Probation Administrator on file with
2	the chemical dependency treatment provider may constitute a material violation of probation
3	terms.
4	68. Respondent shall provide the Probation Administrator with the name and contact
5	information of any chemical dependency treatment provider.
6	69. If Respondent fails to comply with any of the terms or conditions of this stipulation,
7	the Office of Disciplinary Counsel may seek appropriate relief under the relevant disciplinary
8	rules.
9	70. Respondent shall bear all costs associated with compliance with the terms and
10	conditions of the stipulated discipline, reinstatement and probation set forth herein.
11	VII. RESTITUTION
12	71. Respondent agrees to pay restitution as follows:
13	• to Jennifer Hall in the amount of \$1,500, plus interest at a rate of 12% per annum from the date this stipulation is final, and
14 15	• to Robert Stringham in the amount of \$490, plus interest at a rate of 12% per annum from the date this stipulation is final.
16	72. Restitution must be paid within 30 days of approval of this stipulation unless
17	Respondent has entered into a payment plan under ELC 13.7(b).
18	73. Reinstatement from suspension is dependent on Respondent having paid this
19	restitution or being current with any payment plan.
20	VIII. COSTS AND EXPENSES
21	74. In light of Respondent's willingness to resolve this matter by stipulation at an early
22	stage of the proceedings, Respondent shall pay attorney fees and administrative costs of \$500.
23	The Association will seek a money judgment under ELC 13.9(l) if these costs are not paid
24	

1	within 30 days of approval of this stipulation unless Respondent has entered into a payment plan
2	under ELC 13.9(i).
3	75. Reinstatement from suspension is dependent on Respondent having paid these costs
4	or being current with any payment plan.
5	IX. VOLUNTARY AGREEMENT
6	76. Respondent states that prior to entering into this Stipulation she has consulted
7	independent legal counsel regarding this Stipulation, that Respondent is entering into this
8	Stipulation voluntarily, and that no promises or threats have been made by the Association, nor
9	by any representative thereof, to induce the Respondent to enter into this Stipulation except as
10	provided herein.
11	X. LIMITATIONS
12	77. This Stipulation is a compromise agreement intended to resolve this matter in
13	accordance with the purposes of lawyer discipline while avoiding further proceedings and the
14	expenditure of additional resources by the Respondent and the Association. Both the
15	Respondent lawyer and the Association acknowledge that the result after further proceedings in
16	this matter might differ from the result agreed to herein.
17	78. This Stipulation is not binding upon the Association or the respondent as a statement
18	of all existing facts relating to the professional conduct of the respondent lawyer, and any
19	additional existing facts may be proven in any subsequent disciplinary proceedings.
20	79. This Stipulation results from the consideration of various factors by both parties,
21	including the benefits to both by promptly resolving this matter without the time and expense of
22	hearings, Disciplinary Board appeals, and Supreme Court appeals or petitions for review. As
23	such, approval of this Stipulation will not constitute precedent in determining the appropriate
24	

APPENDIX A SELECTED ABA STANDARDS

Standard 4.1 -- Failure to Preserve the Client's Property

- 4.11 Disbarment is generally appropriate when a lawyer knowingly converts client property and causes injury or potential injury to a client.
- 4.12 Suspension is generally appropriate when a lawyer knows or should know that he is dealing improperly with client property and causes injury or potential injury to a client.
- 4.13 Reprimand is generally appropriate when a lawyer is negligent in dealing with client property and causes injury or potential injury to a client.
- 4.14 Admonition is generally appropriate when a lawyer is negligent in dealing with client property and causes little or no actual or potential injury to a client.

Standard 4.4 -- Lack of Diligence

- 4.41 Disbarment is generally appropriate when:
 - (a) a lawyer abandons the practice and causes serious or potentially serious injury to a client; or
 - (b) a lawyer knowingly fails to perform services for a client and causes serious or potentially serious injury to a client; or
 - (c) a lawyer engages in a pattern of neglect with respect to client matters and causes serious or potentially serious injury to a client.
- 4.42 Suspension is generally appropriate when:
 - (a) a lawyer knowingly fails to perform services for a client and causes injury or potential injury to a client, or
 - (b) a lawyer engages in a pattern of neglect and causes injury or potential injury to a client.
- 4.43 Reprimand is generally appropriate when a lawyer is negligent and does not act with reasonable diligence in representing a client, and causes injury or potential injury to a client.
- 4.44 Admonition is generally appropriate when a lawyer is negligent and does not act with reasonable diligence in representing a client, and causes little or no actual or potential injury to a client.

Standard 5.1 -- Failure to Maintain Personal Integrity

- 5.11 Disbarment is generally appropriate when:
 - (a) a lawyer engages in serious criminal conduct, a necessary element of which includes intentional interference with the administration of justice, false swearing, misrepresentation, fraud, extortion, misappropriation, or theft; or the sale, distribution or importation of controlled substances; or the intentional killing of another; or an attempt or conspiracy or solicitation of another to commit any of these offenses; or
 - (b) a lawyer engages in any other intentional conduct involving dishonesty, fraud, deceit, or misrepresentation that seriously adversely reflects on the lawyer's fitness to practice.
- 5.12 Suspension is generally appropriate when a lawyer knowingly engages in criminal conduct which does not contain the elements listed in Standard 5.11 and that seriously adversely reflects on the lawyer's fitness to practice.
- 5.13 Reprimand is generally appropriate when a lawyer knowingly engages in any other conduct that involves dishonesty, fraud, deceit, or misrepresentation and that adversely reflects on the lawyer's fitness to practice law.
- 5.14 Admonition is generally appropriate when a lawyer engages in any other conduct that reflects adversely on the lawyer's fitness to practice law.