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DISCRIBARY BOAR

BEFORE THE DISCIPLINARY BOARD OF THE WASHINGTON STATE BAR ASSOCIATION

In re

ERIC A. JONES,

Lawyer (Bar No. 31048).

Proceeding No. 12#00073 and WSBA File #12-00009

STIPULATION TO SUSPENSION

Under Rule 9.1 of the Rules for Enforcement of Lawyer Conduct (ELC), the following Stipulation to Suspension is entered into by the Washington State Bar Association (Association), through disciplinary counsel Jonathan Burke and respondent lawyer Eric A. Jones (Respondent).

Respondent understands that he is entitled under the ELC to a hearing, to present exhibits and witnesses on his behalf, and to have a hearing officer determine the facts, misconduct and sanction in this case. Respondent further understands that he is entitled under the ELC to appeal the outcome of a hearing to the Disciplinary Board, and, in certain cases, the Supreme Court. Respondent further understands that a hearing and appeal could result in an outcome more favorable or less favorable to him. Respondent chooses to resolve this proceeding now by entering into the following stipulation to facts, misconduct and sanction to

Stipulation to Discipline Page 1



WASHINGTON STATE BAR ASSOCIATION
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1	avoid the risk, time, and expense attendant to further proceedings.		
2	I. ADMISSION TO PRACTICE		
3	1. Respondent was admitted to practice law in the State of Washington on May 30,		
4	2001.		
5	II. STIPULATED FACTS		
6	2. During all material times, Respondent's legal practice focused on immigration law.		
7	3. During all material times, Respondent held himself out to the public as an		
8	immigration lawyer.		
9	Facts Regarding Kamau Matter		
10	4. On August 22, 2006, Respondent met with John Kamau (Kamau) about		
11	representing him in an asylum case.		
12	5. Kamau provided Respondent with documentation and information reflecting that		
13	Kamau was a native of Kenya who entered the United States on May 10, 2006, and that		
14	Kamau's visa was due to expire on November 8, 2006.		
15	6. Kamau provided Respondent with documentation reflecting that Kamau had		
16	submitted an application for asylum to the United States Citizen and Immigration Services		
17	(USCIS) on or about June 21, 2006.		
18	7. Later, Kamau provided Respondent with a letter from USCIS, dated September 7,		
19	2006, notifying Kamau that his request for asylum was denied because he failed to meet the		
20	legal standard.		
21	8. On October 16, 2006, Respondent met again with Kamau and agreed to represent		
22	him in the asylum matter provided that Kamau pay him advance fees of \$2,500 in full before		
23	representation commenced.		
24			

- 9. At that time, Kamau had not presented a reasonably valid legal basis to seek asylum, and based on the documentation and information provided, Kamau would not qualify for asylum.
- 10. Respondent provided Kamau with a letter/fee agreement, dated October 16, 2006, stating that payment of "the initial advance of \$2,500 is required" as a condition of representation.
- 11. Under the terms of the letter/fee agreement, Respondent agreed to charge Kamau at an hourly billing rate of \$200.
- 12. Respondent's fee agreement provided that "advanced payments will be deposited into a trust account." Respondent's fee agreement also provided that "at reasonable intervals, I will send you statements indicating fees earned, expenses incurred, and any other charges." Respondent's fee agreement further provided that "in the event of a timely [fee] dispute, funds equal to the total of disputed charges will remain or be re-deposited within the trust account."
- 13. Many of the provisions in Respondent's standard form fee agreement were borrowed from other form fee agreements that Respondent obtained. Respondent did not commonly follow the terms and procedures set out in the fee agreement.
- 14. Respondent informed Kamau that he would not represent him until Kamau provided Respondent with a Notice to Appear in immigration removal proceedings.
 - 15. A Notice to Appear was never issued to Kamau.
- 16. Respondent never filed a notice of appearance on behalf of Kamau in any immigration proceedings.
- 17. During the period from December 2006 through September 2009, Kamau made periodic payments to Respondent totaling \$2,500.

- 18. Of the \$2,500 paid, Respondent received two personal checks in the amount of \$200 each, dated December 8, 2006 and January 5, 2007.
- 19. Respondent applied one of Kamau's \$200 personal checks, dated December 8, 2006, to fees for the initial consultation, which was a reasonable fee.
- 20. Respondent did not deposit the second \$200 personal check, dated January 5, 2007, into his trust account. Instead, Respondent held this check in a file for years. The checking account for the \$200 check was eventually closed and the check is no longer good.
 - 21. The remaining \$2,100 was paid to Respondent using money orders.
- 22. Respondent received ten money orders from Kamau in the amount of \$200 each with the following dates: April 30, 2007, May 11, 2007, May 25, 2007, June 8, 2007, June 22, 2007, July 6, 2007, July 20, 2007, August 3, 2007, August 17, 2007, August 31, 2007.
 - 23. Respondent received one money order for \$100, dated September 14, 2007.
- 24. Each of the eleven money orders Kamau provided to Respondent provided that a non-refundable service charge of \$0.50 per month would be deducted from the amount of the money order when it is not presented for payment within one year of the date purchased. Respondent was not aware of the monthly service charge provision.
- 25. Respondent did not deposit any of the eleven money orders into his trust account. Instead, Respondent held the money orders in a file.
- 26. Respondent knew or should have known that he failed to properly handle the advance fees paid by Kamau.
- 27. Respondent received letters from Kamau requesting legal services, including an application for a work permit. Respondent did not comply with these requests because a Notice to Appear had not been filed or served.

45. Respondent often distributed client funds to himself from his trust account based on his unsubstantiated belief that he had earned the funds or that the funds would be earned in the near future.

46. Respondent occasionally transferred funds from his trust account when he personally needed money, notwithstanding that he did not have sufficient documentation demonstrating that he had earned the funds he distributed from his trust account. Of the total amount of funds distributed from the trust account, Respondent assigned amounts to various clients believing that he had probably earned the funds or would earn the funds in the near future.

- 47. Respondent's trust account records revealed forty-six instances in which Respondent's client ledgers reflected that clients had negative balances in Respondent's trust account. Respondent's records for each of these instances reflected that Respondent was using funds belonging to other clients.
- 48. The Association reviewed Respondent's trust account records from January 1, 2006 through July 19, 2011. Respondent's trust account records reflect that as of July 11, 2011, there were six client ledgers with negative balances totaling \$4,170, including the "Unknown Disbursements" ledger.
- 49. As of July 19, 2011, Respondent's trust account records reflect that there were fifteen ledgers reflecting positive balances totaling \$4,591.65, notwithstanding that there was only \$421.65 in Respondent's bank account at the time.
- 50. Respondent's trust account records reflect that between October 1, 2008 and July 19, 2011, the funds in Respondent's trust account were insufficient to cover the amounts Respondent should have been holding on behalf of 14 clients for 72% of the time.

III. STIPULATION TO MISCONDUCT

1	no substantial purpose other than burdening a third party).			
2	IV. PRIOR DISCIPLINE			
3	67. Respondent has no prior discipline.			
4	V. APPLICATION OF ABA STANDARDS			
5	68. The following American Bar Association Standards for Imposing Lawyer Sanctions			
6	(1991 ed. & Feb. 1992 Supp.) (ABA Standards) apply to this case.			
7	69. ABA Standard 4.1 applies to the failure to preserve the client's property, including			
8	Respondent's violations of RPC 1.15A(b) and RPC 1.15A(c):			
9	4.1 Failure to Preserve the Client's Property			
10 11	4.11 Disbarment is generally appropriate when a lawyer knowingly converts client property and causes injury or potential injury to a client.			
12	4.12 Suspension is generally appropriate when a lawyer knows or should know that he is dealing improperly with client property and causes injury or potential injury to a client.			
13 14	4.13 Reprimand is generally appropriate when a lawyer is negligent in dealing with client property and causes injury or potential injury to a client.			
15 16	4.14 Admonition is generally appropriate when a lawyer is negligent in dealing with client property and causes little or no actual or potential injury to a client.			
17	70. Kamau. Respondent knew or should have known that he was not properly dealing			
18	with Kamau's advance fees resulting in actual and potential injury to Kamau. Suspension is the			
19	presumptive sanction under ABA <u>Standard</u> 4.12.			
20	71. Handling advance hourly fees of other clients. Respondent knew or should have			
21	known that he was not properly dealing with other clients' advance fees when he withdrew			
22	funds without first ascertaining whether that client had sufficient funds and whether he had yet			
23	earned the funds. Suspension is the presumptive sanction under ABA <u>Standard</u> 4.12.			
24	72. ABA Standard 7.0 applies to Respondent's violations of duties owed to the			

1	profession.			
2	73. In the Kamau matter, ABA <u>Standard</u> 7.0 applies to Respondent's violations of RPC			
3	1.15A(e) (accounting), RPC 1.15A(f) (returning unearned fees), RPC 1.15A(h)(3) (reasonable			
4	notice of withdrawing fees), RPC 1.16(d) (prompt return of unearned fees), and RPC 1.5(a)			
5	(unreasonable fees).			
6	74. ABA Standard 7.0 applies to (1) Respondent's violations of RPC 1.15B(a)(1) and			
7	1.15A(h) for failing to maintain accurate trust account records; (2) Respondent's violations of			
8	RPC 1.15A(e) for withdrawing funds from trust without notification to clients; (3) Respondent's			
9	violation of 1.15A(h)(1) by commingling personal funds with client funds; and (4)			
0	Respondent's violation of RPC 4.4(a) for sending a threatening letter to the plaintiff on behalf			
11	of his client BN matter.			
12	75. ABA <u>Standard</u> 7.0 provides as follows:			
13	7.0 Violations of Duties Owed as a Professional			
14	7.1 Disbarment is generally appropriate when a lawyer knowingly engages in			
15	conduct that is a violation of a duty owed as a professional with the intent to obtain benefit for the lawyer or another, and causes serious or potentially serious injury to client, the public, or the legal system.			
16	7.2 Suspension is generally appropriate when a lawyer knowingly engages in			
17	conduct that is a violation of a duty owed as a professional and causes injury of potential injury to a client, the public, or the legal system.			
18	7.3 Reprimand is generally appropriate when a lawyer negligently engages in			
19	conduct that is a violation of a duty owed as a professional and causes injury or potential injury to a client, the public, or the legal system.			
20	7.4 Admonition is generally appropriate when a lawyer engages in an isolated			
21	instance of negligence that is a violation of a duty owed as a professional, and causes little or no actual or potential injury to a client, the public, or the legal system.			
22 23	76. Kamau matter. Respondent knowingly violated RPC 1.16(d) and RPC 1.5(a)			
24	resulting in actual and potential harm. Suspension is the presumptive sanction under ABA			
	Stimulation to Discipline WASHINGTON STATE BAR ASSOCIATION			

1	Standard 7.2. Respondent negligently failed to comply with RPC 1.15A(e), (f), and (h)
2	resulting in potential harm. Reprimand is the presumptive sanction for these violations under
3	ABA Standard 7.3.
4	77. Other Trust Account Violations. Respondent negligently failed to comply with trust
5	account rules RPC 1.15A(e) and (h) resulting in potential harm to clients. Reprimand is the
6	presumptive sanction for these violations under ABA Standard 7.3.
7.	78. BN matter. Respondent knowingly engaged in conduct that violated RPC 4.4(a)
8	when he sent the threatening letter to the plaintiff resulting in actual injury. Suspension is the
9	presumptive sanction under ABA Standard 7.2.
10	79. The Supreme Court has found that, where there are multiple ethical violations, the
11	"ultimate sanction imposed should at least be consistent with the sanction for the most serious
12	instance of misconduct among a number of violations." In re Disciplinary Proceeding Against
13	Petersen, 120 Wn.2d 833, 854, 846 P.2d 1330 (1993) (quoting ABA Standards at 6).
14	80. Suspension is the most serious sanction for Respondent's misconduct. Accordingly,
15	suspension is the presumptive sanction.
16	81. The following aggravating factor applies under ABA <u>Standards</u> Section 9.22:
17	(d) <u>Multiple offenses</u> [As described above, Respondent engaged in multiple offenses and violated a number of RPCs during the period at issue].
18	82. The following mitigating factors apply under ABA <u>Standards</u> Section 9.32:
19	(a) Absence of prior disciplinary record; and
20	(a) Absence of prior disciplinary record, and (b) Personal or emotional problems [throughout the period at issue, Respondent
21	was experiencing personal and emotional problems].
22	83. On balance the aggravating and mitigating factors do not require a departure from
23	the presumptive sanction of suspension.
24	

- v) Months 13–15. By no later than the 30th day of the sixteenth month after Respondent's reinstatement, Respondent shall provide the trust account records from the end of the previously provided quarter through the end of month fifteen.
- vi) Months 16 18. By no later than the 30th day of the nineteenth month after Respondent's reinstatement, Respondent shall provide the trust account records from the end of the previously provided quarter through the end of month eighteen.
- vii) Months 19-21. By no later than the 30^{th} day of the twenty-second month after Respondent's reinstatement, Respondent shall provide the trust account records from the end of the previously provided quarter through the end of month twenty-one.

The trust account records Respondent provides to the Association for each quarterly review of his trust account will include: (a) a complete checkbook register for his trust account covering the period being reviewed, (b) complete individual client ledger records for any client with funds in Respondent's trust account during all or part of the period being reviewed, as well as for Respondent's own funds in the account (if any), (c) copies of all trust-account bank statements, deposit slips, and cancelled checks covering the period being reviewed, (d) copies of all trust account client ledger reconciliations for the period being reviewed, and (e) copies of reconciliations of Respondent's trust account check register covering the period being reviewed. The Association's Audit Manager or designee will review Respondent's trust account records for each period.

- e) On the same quarterly time schedule set forth in the preceding paragraph, Respondent will provide the Association's Audit Manager or designee with copies of any and all fee agreements entered into within the time period at issue.
- f) The Association's Audit Manager or designee may request additional financial or client records if needed to verify Respondent's compliance with RPC 1.15A and/or 1.15B. Within twenty days of a request from the Association's Audit Manager or designee for additional records needed to verify Respondent's compliance with RPC 1.15A and/or RPC 1.15B, Respondent will provide the Association's Audit Manager or designee the additional records requested.
- Respondent will reimburse the Washington State Bar Association for time spent by the Association's Audit Manager or designee in reviewing and reporting on Respondent's records to determine his/her compliance with RPC 1.15A and RPC 1.15B, at the rate of \$85 per hour. Respondent will make payment within thirty days of each written invoice setting forth the auditor's time and payment due.
- 86. Respondent shall reconstruct his trust account and account for client funds as

follows:

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this Stipulation voluntarily, and that no promises or threats have been made by the Association, nor by any representative thereof, to induce the Respondent to enter into this Stipulation except as provided herein.

X. LIMITATIONS

- 90. This Stipulation is a compromise agreement intended to resolve this matter in accordance with the purposes of lawyer discipline while avoiding further proceedings and the expenditure of additional resources by the Respondent and the Association. Both the Respondent lawyer and the Association acknowledge that the result after further proceedings in this matter might differ from the result agreed to herein.
- 91. This Stipulation is not binding upon the Association or the respondent as a statement of all existing facts relating to the professional conduct of the respondent lawyer, and any additional existing facts may be proven in any subsequent disciplinary proceedings.
- 92. This Stipulation results from the consideration of various factors by both parties, including the benefits to both by promptly resolving this matter without the time and expense of hearings, Disciplinary Board appeals, and Supreme Court appeals or petitions for review. As such, approval of this Stipulation will not constitute precedent in determining the appropriate sanction to be imposed in other cases; but, if approved, this Stipulation will be admissible in subsequent proceedings against Respondent to the same extent as any other approved Stipulation.
- 93. Under Disciplinary Board policy, in addition to the Stipulation, the Disciplinary Board shall have available to it for consideration all documents that the parties agree to submit to the Disciplinary Board, and all public documents. Under ELC 3.1(b), all documents that form the record before the Board for its review become public information on approval of the

1	Stipulation by the Board, unless disclosure is restricted by order or rule of law.		
2	94. If this Stipulation is approved by the Disciplinary Board and the Supreme Court, it		
3	will be followed by the disciplinary action agreed to in this Stipulation. All notices required in		
4	the Rules for Enforcement of Lawyer Conduct will be made.		
5	95. If this Stipulation is not approved by the Disciplinary Board and the Supreme Court,		
6	this Stipulation will have no force or effect, and neither it nor the fact of its execution will be		
7	admissible as evidence in the pending disciplinary proceeding, in any subsequent disciplinary		
8	proceeding, or in any civil or criminal action.		
9.	WHEREFORE the undersigned being fully advised, adopt and agree to this Stipulation		
10	to Discipline as set forth above.		
11	Dated: 1-28-13	•	
12	Eric A. Jones, Bar No. 31048		
13	Respondent		
14	Jonathan Burke, Bar No. 20910 Dated: 1/30/13		
15	Disciplinary Counsel		
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21	JAN 8.0 2013		
22	WSBA OFFICE OF		
23	DISCIPLINARY COUNS	EL	
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